

DISCIPLINARY ACTION

PRIVATE ADMONITION. ODC File No. 111894-B. Effective Date: October 2, 2014

A Delaware lawyer was privately admonished and placed on private probation for two years for violations of the Delaware Lawyers' Rules of Professional Conduct ("Rules") in connection with his failure to maintain his law practice's books and records. The private sanction was offered by a panel of the Preliminary Review Committee ("PRC") and imposed with the consent of the lawyer. The lawyer admitted violations of **Rule 1.15(d)**, **Rule 8.4(c)** and **Rule 8.4(d)**. The Lawyers' Fund for Client Protection conducted an audit in March 2014 which revealed deficiencies in the books and records. The lawyer also made misrepresentations as to the status of the books and records to the Supreme Court on his 2013 Certificate of Compliance. As a condition of the private sanction the lawyer must have a CPA conduct a precertification of his firm's books and records and file the accountant's affidavit for his 2015 and 2016 Certificates of Compliance.